



Application for Lead Operator Reference Number

Attention: The lead operator should either be a service provider or a person acting for a non-corporate financial institution to maintain financial accounts.

To: Commissioner of Inland Revenue

The Lead Operator in Part 1, authorized to act for the Financial Institutions in Part 2 to register and operate AEOI Accounts, hereby submits an application for a Lead Operator Reference Number (“LORN”) (Note 1). Particulars of the Lead Operator and the Financial Institutions are as follows:

Part 1 The Lead Operator authorized to act for the Financial Institutions in Part 2 to register / operate AEOI accounts

- (1) Name _____
- (2) Business Registration Number / Business Registration Number Equivalent* (Note 2) _____
- (3) Address _____
- (4) Contact Person
 - (a) Name (Mr/Mrs/Ms/Miss) _____
 - (b) Post _____
 - (c) Email address _____
 - (d) Daytime contact telephone number _____

Part 2 The Financial Institutions AEOI accounts of which are to be registered / operated by the Lead Operator in Part 1 (Note 3)

Name	BRN or BRNE	Notification of Authorization by Financial Institution		Put down “SP” or “PMFA” (Note 5)
		Put a “✓” if Form IR1459 / online notification already submitted	Put a “✓” and attach Form IR1459 (Note 4)	
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10) (Note 6)				

(BRN = Business Registration Number; BRNE = Business Registration Number Equivalent)

Part 3 Declaration

I hereby declare that to the best of my knowledge and belief, the information provided above and documents attached (if any) are true, correct and complete.

Name of Signatory _____ Signature _____

Position held by the Signatory (Note 7) _____ Date _____

PERSONAL INFORMATION COLLECTION STATEMENT

The provision of personal data required by this form and during the processing of your application is voluntary. However, if you do not provide sufficient information, the Department may not be able to process your application. The Department will use the information provided by you for the purposes of the Ordinances administered by it and may disclose/transfer any or all of such information to any other parties provided that the disclosure/transfer is authorized or permitted by law. Except where there is an exemption provided under the Personal Data (Privacy) Ordinance, the data subject has the right to request access to and correction of his/her personal data. The data subject should send such request in writing to the Assessor at GPO Box 10851, Hong Kong and quote his/her file number in this Department.

Notes

1. The Lead Operator can use a unique LORN to login to the AEOI Accounts that the Lead Operator is authorized to operate.
2. If the Lead Operator is not carrying on business in Hong Kong and does not have a Business Registration Number Equivalent, the Lead Operator must submit an Application for Business Registration Number Equivalent by a Person Maintaining Financial Accounts (Form IR1461).
3. The Lead Operator is required to state the details of all Financial Institution(s) AEOI account(s) of which are to be registered / operated by the Lead Operator.
4. The Financial Institution must attach Notification(s) of Details of Person Authorized to Register/Operate an AEOI Account (Form IR1459) to this application if the Financial Institution has not notified the Department of the authorization previously.
5. The Lead Operator's capacity should either be:
SP – Service Provider for Financial Institution; or
PMFA – Person acting for the non-corporate Financial Institution to maintain financial accounts.
6. If the Lead Operator acts for more than 10 Financial Institutions, provide the required information on a separate sheet.
7. The signatory must be an individual. If the Lead Operator is a corporation, the form must be signed by the individual who is a director or an officer of the Lead Operator. If the Lead Operator is not a corporation, the form must be signed by the individual who is the principal officer or responsible person.