



INLAND REVENUE DEPARTMENT

Application for Business Registration Number Equivalent by Financial Institution

Attention: This application can only be submitted by a financial institution that is not carrying on business in Hong Kong.

To: Commissioner of Inland Revenue

The Financial Institution in Part 1 hereby submits an application for a Business Registration Number Equivalent for the purpose of subscribing for e-Cert (Organisational) with AEOI Functions to access the AEOI Portal. Particulars of the Financial Institution are as follows:

Part 1 Particulars of the Financial Institution

- (1) Name of Financial Institution _____
- (2) Type of Legal Form Corporation Partnership Trust Others (Please specify) _____
- (3) Nature of Legal Form Corporate Non-corporate
- (4) Type(s) of Financial Institution Custodial Institution Depository Institution
 Investment Entity Specified Insurance Company
- (5) Address _____
- (6) Reason why the Financial Institution is not required to apply for a business registration in Hong Kong (Note 1)
 The Financial Institution is a non-business entity
- Principal activities _____
- Primary income sources (e.g. dividend from investments) _____
 Other reasons (Please specify) _____
- (7) Contact Person
(a) Name (Mr/Mrs/Ms/Miss) _____
(b) Hong Kong Identity Card Number _____
(c) Post _____
(d) Email address _____
(e) Daytime contact telephone number _____
- (8) Person acting for the Financial Institution that is not a corporation to maintain financial accounts
(a) Name _____
(b) Business Registration Number / Business Registration Number Equivalent* _____
Hong Kong Identity Card Number (Note 2) _____

Part 2 Declaration

I hereby declare that to the best of my knowledge and belief, the information given in this form and the documents attached (if any) are true, correct and complete.

Name of Signatory _____ Signature _____

Position held by the Signatory (Note 3) _____ Date _____

* Delete as appropriate

PERSONAL INFORMATION COLLECTION STATEMENT

The information provided by you will be used for purposes relating to the administration of tax laws in this Department. This Department may give some of the information to other parties authorized by law to receive it. Except where there is an exemption provided under the Personal Data (Privacy) Ordinance, the data subject has the right to request access to and correction of his personal data. A request for access to and correction of the personal data should be addressed in writing to the Assessor (Tax Treaty), GPO Box 10851, Hong Kong. Please quote the name and Business Registration Number Equivalent (if any) of the Financial Institution in this Department.

Notes

1. If the Financial Institution commences to carry on any business in Hong Kong, an application for business registration must be submitted under the Business Registration Ordinance (Cap. 310) within 1 month of the commencement of the business. The Financial Institution should also inform Assessor (Tax Treaty) in writing after submission of application for business registration.
2. If the person is an individual, provide the individual's Hong Kong Identity Card Number. If the individual is not carrying on business in Hong Kong and does not have a Business Registration Number Equivalent, an Application for Business Registration Number Equivalent by an Individual Maintaining Financial Accounts (Form IR1461) must be submitted.
3. The signatory must be an individual. Persons who can sign the form are:
 - (a) the director or an officer if the Financial Institution is a corporation;
 - (b) the precedent partner if the Financial Institution is a partnership;
 - (c) the trustee if the Financial Institution is a trust (or the director or an officer of the corporate trustee); or
 - (d) the principal officer or responsible person if the Financial Institution is a body of persons.